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| Substitut for F rm 1449A/PTO (Modified) | | | | Complete If Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use only if necessary)</small> | | | | Application Number | 09/704,458 |
| | | | | Filing Date | 11/1/2000 |
| | | | | First Named Inventor: | Erickson, d |
| | | | | Group Art Unit | 2181 |
| | | | | Examiner Name | Unassigned |
| Sh t | 1 | 4 | Attorney Docket Number | 003239.P005 | Technology Center 2100 |

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|--------------------|----------|-----------------|----------|
| Examiner Signature | R. Healy | Date Considered | 11/23/01 |
|--------------------|----------|-----------------|----------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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|---|------------------------|-----------------------|------------------|
| Substitut for Form 1449A/PTO (Modified) | | Complete If Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | 09/704,458 |
| | | Filing Date | 11/1/2000 |
| | | First Named Inventor: | Erickson, et al. |
| | | Group Art Unit | 2181 |
| | | Examiner Name | Unassigned |
| Sheet 2 of | Attorney Docket Number | 003239.P085 | |

U.S. PATENT DOCUMENTS

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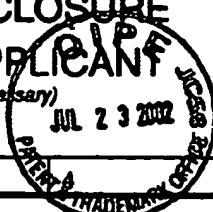
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|--------------------|-----------------|-----------------|----------|
| Examiner Signature | <i>B. Henly</i> | Date Considered | 11/23/00 |
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Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

| Substituted for Form 1449A/PTO (Modified) <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p> | | | | Complete if Known | | |
|--|----------|----------------------|-----------------------------------|---|--|---|
|  | | | | Application Number | 09/704,458 | |
| | | | | Filing Date | 11/1/2000 | |
| | | | | First Named Inventor: | Erickson, et al. | |
| | | | | Group Art Unit | 2181 | |
| | | | | Examiner Name | Unassigned | |
| Sheet 3 of | | | | Attorney Docket Number 003239 | | |
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| | | Number known | Kind Code ² (if known) | | | |
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| Exam. Initial* | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T* |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
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| Examiner Signature |  | Date Considered | 11/23/05 |
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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| | | Filing Date | 11/1/2000 | |
| | | First Named Inventor: | Erickson | |
| | | Group Art Unit | 2181 | |
| | | Examiner Name | Unassigned | |
| Sheet | 4 | of | Attorney Docket Number | 003239.P085 |
| OTHER ART - NO PATENT LITERATURE DOCUMENTS | | | | |
| Examiner Initials* | Cite No ¹ | Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² | |
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| Examiner Signature | B. Healy | Date Considered | 11/28/01 |
|--------------------|----------|-----------------|----------|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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Electronic Information Disclosure Statement

Connection Protection Between Clients and Optical Cross-Connect Switches

Application: 
09/704458

Confirmation: 3998

Applicant(s): Kent Erickson

Docket Number: 003239.P085

Group Art Unit: 2181

Examiner: Unassigned

search string: (4365863 or 4369523 or 4563774 or 4580873 or 4797879 or 4817014 or 4843382 or 4856863 or 4859012 or 4886335 or 4903225 or 4984238 or 5037173 or 5216729 or 5260819 or 5349550 or 5359683 or 5440654 or 5488862 or 5524153 or 5535293 or 5539328 or 5608735 or 5629790 or 5648618 or 5694389 or 5699463 or 5719903 or 5727098 or 5774604 or 5828476 or 5864643 or 5903687 or 5915063 or 5942937 or 5960132 or 6002818 or 6005993 or 6069924 or 6097858 or 6097859 or 6160821 or 6188814 or 6195402 or 6295154 or 6392220),pn.






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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Signature

| Examiner Name | Date |
|---------------|--------|
| B. Healy | 6/5/03 |



2410
10-9-02
E.Hall

Electronic Information Disclosure Statement

Connection protection between clients and optical cross-connect switches

Application: 
09/704458

Confirmation: 3998

Applicant(s): Kent Erickson

Docket Number: 003239.P085

Group Art Unit: 2181

Examiner: Wong, Peter S.

search string: (6,130,876 or 6,185,021 or 6,192,172 or 6,222,954 or 6,252,689 or 6,272,154 or 6,278,812 or 6,285,809 or 6,301,402 or 6,317,530 or 6,374,008 or 6,400,730 or 5,666,487).pn.






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Technology Center 2100

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. * Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. * This Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. * Applicants respectfully requests that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record. *

Signature

| | |
|-------------------------------|--------------------|
| Examiner Name <i>B. Healy</i> | Date <i>6/9/03</i> |
|-------------------------------|--------------------|